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ATTORNEY'S DOCKET NUMBER

| TRANSMITTAL LETTER TO THE UNITED STATES | S |
|---|---|
| DESIGNATED/ELECTED OFFICE (DO/EO/US)    |   |
| CONCERNING A FILING UNDER 35 U.S.C. 371 |   |
|   |   |

PRIORITY DATE CLAIMED

| HALEK   | ANTIONAL ALTEICATION NO.  | INTERNATIONAL FIERRO BALLE                          |  |  |  |
|---------|---|---|--|--|--|
|         | CT/US99/20349   | 3 September 1999                                    | 4 September 1998                           |  |  |
|         | OF INVENTION  |   |  |  |  |
|         |   | <u> For Prostate-Specific Membr</u>                 | ane Antigen and Uses There                 |  |  |
| C-      | CANT(S) FOR DO/EO/US  |   |  |  |  |
| Applica | nt herewith submits to the United Sta   | ites Designated/Elected Office (DO/EO/US)           | the following items and other information: |  |  |
| 1. 🗶    | This is a FIRST submission of items   | concerning a filing under 35 U.S.C. 371.            |  |  |  |
| 2.      | This is a SECOND or SUBSEQUE  | NT submission of items concerning a filing u        | nder 35 U.S.C. 371.                        |  |  |
|         | items (5), (6), (9) and (21) indicated  | ational examination procedures (35 U.S.C. 37 below. | İ  |  |  |
|         |   | ration of 19 months from the priority date (A       | rticle 31).                                |  |  |
| 5. 🔽    | A copy of the International Applican  |   |  |  |  |
|         |   | only if not communicated by the Internation         | nal Bureau).                               |  |  |
|         | b.  has been communicated by  |   | 0.00                                       |  |  |
|         | 77  | cation was filed in the United States Receiving     |  |  |  |
| 6. 🔲    |   | ne International Application as filed (35 U.S.      | C. 371(c)(2)).                             |  |  |
|         | a. is attached hereto.  |   |  |  |  |
| _ =     |   | tted under 35 U.S.C. 154(d)(4).                     | 25 11 5 C 271(a)(2))                       |  |  |
|         | •   | ernational Aplication under PCT Article 19 (        |  |  |  |
|         |   | ed only if not communicated by the Internation      | onal Buleau).                              |  |  |
|         |   | by the International Bureau.                        | . A NOT                                    |  |  |
|         | c. have not been made; howe   | ver, the time limit for making such amendme         | ints has NOT expired.                      |  |  |
|         | d. have not been made and w   | ill not be made.                                    |  |  |  |
|         |   | ne amendments to the claims under PCT Artic         | cle 19 (35 U.S.C. 371 (c)(3)).             |  |  |
| 9.      | An oath or declaration of the inventor  | or(s) (35 U.S.C. 371(c)(4)).                        | ;<br>•                                     |  |  |
|         | An English lanugage translation of the Article 36 (35 U.S.C. 371(c)(5)).                                      | ne annexes of the International Preliminary E       | xamination Report under PCT                |  |  |
| Item    | ns 11 to 20 below concern documen   | t(s) or information included:                       | 1  |  |  |
| 11.     | An Information Disclosure Statem  |   |  |  |  |
| 12. 🔲   | An assignment document for recor  | ding. A separate cover sheet in compliance          | with 37 CFR 3.28 and 3.31 is included.     |  |  |
| 13. 🔽   | A FIRST preliminary amendment.  |   |  |  |  |
| 14.     | A SECOND or SUBSEQUENT pe   | reliminary amendment.                               |  |  |  |
| 15.     | A substitute specification.   |   |  |  |  |
| 16.     | A change of power of attorney and   | Vor address letter.                                 | -  |  |  |
| 17.     | A computer-readable form of the s   | equence listing in accordance with PCT Rule         | 13ter.2 and 35 U.S.C. 1.821 - 1.825.       |  |  |
| 18.     | A second copy of the published in   | ernational application under 35 U.S.C. 154(c        | 1)(4).                                     |  |  |
| 19. 🔲   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). |   |  |  |  |
| 20.     | Other items or information:   |   |  |  |  |
| Cc      | ony of International P  | celiminary Examination Repo                         | rt.  |  |  |

| Section   Comparison   Compa     | DAMINITAN SEN   | -50 T   | INTERNATIONAL APPLICATION NO.                           |   |          | ATTORNEY'S DO |                |
|---|---|---|---|---|----------|---------------|----------------|
| A check in the amount of \$\$ 100.00      Total by Barbara Charles (197 CFR 1.492 (a) (b) - (5)):   Neither international preliminary examination fee (37 CFR 1.482) on or international search fee (37 CFR 1.445(a)) paid to USPTO and international search fee (37 CFR 1.445(a)) paid to USPTO the international search fee (37 CFR 1.445(a)) paid to USPTO but international Search Report prepared by the EPO or IPO  |   |   | ост/IISQQ/2034Q   |   | C/       |               |                |
| Neither international preliminary examination (e. (37 CFR 1.482) not international search (e. 67 CFR 1.482) paid to USPTO and International Search Report not prepared by the EPO or JPO  |   | •   | ) (4) (7)   |   | Ľ        | INCUM HONS    | 1 TO COLL OTTE |
| nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO   |   | •   |   |   |          |               |                |
| USPTO but International Search Report prepared by the EPO or JPO  | nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO   |   |   |   |          |               |                |
| but international search fee (37 CFR 1.445(a)(2)) paid to USPTO   | International prelin<br>USPTO but Interna   | ninary examination fee ational Search Report p        | (37 CFR 1.482) not paid to<br>repared by the EPO or JPO | ) <b>\$860.00</b>                                 |          |               |                |
| but all claims did not satisfy provisions of PCT Article 33(1)-(4)  | International prelin<br>but international se  | ninary examination fee<br>earch fee (37 CFR 1.445     | (37 CFR 1.482) not paid to<br>(a)(2)) paid to USPTO     | USPTO \$710.00                                    |          |               |                |
| and all claims satisfied provisions of PCT Article 33(1)-(4)  | International prelin<br>but all claims did n  | ninary examination fee<br>not satisfy provisions of   | (37 CFR 1.482) paid to US<br>PCT Article 33(1)-(4)      | SPTO \$690.00                                     |          |               |                |
| Surcharge of \$130.00 for furnishing the oath or declaration later than   | and all claims satis  | fied provisions of PCT                                | Article 33(1)-(4)                                       | \$100.00  | _        | 100.00        | 1              |
| CLAIMS   NUMBER FILED   NUMBER EXTRA   RATE   \$  |   |   |   |   | -        |               |                |
| Total claims 32 - 20 = 12  x \$18.00 \$216.00   Independent claims 1 - 3 = 0  x \$80.00 \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable)  + \$270.00 \$  TOTAL OF ABOVE CALCULATIONS = \$316.00    Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  **  **  **  **  **  **  **  **  **  | Surcharge of \$130.0 months from the ear  | 0 for furnishing the oat<br>liest claimed priority da | h or declaration later than<br>ate (37 CFR 1.492(e)).   | ☐ 20 ☐ 30   | Ĺ        |               | <u> </u>       |
| Independent claims 1 - 3 = 0 x \$89.00 \$  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00 \$  TOTAL OF ABOVE CALCULATIONS = \$316.00  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  SUBTOTAL = \$158.00  Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 \$  TOTAL NATIONAL FEE = \$  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: \$  charged: \$  a. ☒ A check in the amount of \$   | CLAIMS  | NUMBER FILED  |   |   |          | 016 00        |                |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CALCULATIONS = \$ 316.00  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  SUBTOTAL = \$ 158.00  Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 \$ months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$  Fee for recording the enclosed assignment (37 CFR 1.21(b)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: \$ charged: \$  a. ☑ A check in the amount of \$   |   |   |   |   | <u> </u> | 216.00        |                |
| TOTAL OF ABOVE CALCULATIONS = \$ 316.00    Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.    Substitute   Sub |   | <u> </u>  | <u> </u>  |   | _        |               |                |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.    SUBTOTAL   | MULTIPLE DEPEN  |   |   | l   | <u> </u> | 216 00        |                |
| are reduced by 1/2.  SUBTOTAL   \$ 158.00    Processing fee of \$130.00 for furnishing the English translation later than   20   30    TOTAL NATIONAL FEE   \$    Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   +    TOTAL FEES ENCLOSED   \$    Amount to be refunded:   \$    charged:   \$    a.   A check in the amount of \$   to cover the above fees is enclosed.  b.   Please charge my Deposit Account No.   in the amount of \$   to cover the above fees. A duplicate copy of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.   15-0610   A duplicate copy of this sheet is enclosed.  d.   Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: OPPEDAHL & LARSON LLP  NAME  MARINA T. LARSON, PH.D.  NAME  SIGNATURE  MARINA T. LARSON, PH.D.  NAME  SIGNATURE  MARINA T. LARSON, PH.D.   |   |   |   |   | 2        |               |                |
| Processing fee of \$130.00 for furnishing the English translation later than 20 30 \$  TOTAL NATIONAL FEE = \$  Fee for recording the enclosed assignment (37 CFR 1.492(f)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: \$  charged: \$  a.   A check in the amount of \$  |   |   | ee 37 CFR 1.27. The fees                                |   | \$       | 158.00        |                |
| months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: \$  charged: \$  a.   A check in the amount of \$  please charge my Deposit Account No. in the amount of \$  A duplicate copy of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0610 . A duplicate copy of this sheet is enclosed.  d.   Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  OPPEDAHL & LARSON LLP  MAME 32,038  REGISTRATION NUMBER  |   |   |   |   | \$       | 158.00        |                |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$  Amount to be refunded: \$  charged: \$  a.   A check in the amount of \$   | Processing fee of \$1 months from the ear   | 30.00 for furnishing the liest claimed priority da    | e English translation later that (37 CFR 1.492(f)).     | han 20 30   | \$       |               |                |
| a.   A check in the amount of \$  |   |   | TOTAL NATIO   | NAL FEE =   | \$       |               |                |
| Amount to be refunded:  charged:  charged:  charged:  b. Please charge my Deposit Account No. in the amount of \$   | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  |   |   |   |          |               |                |
| Amount to be refunded:  charged:  s  a. \[ \text{ \text{A check in the amount of } \] to cover the above fees is enclosed.}  b. \[ \] Please charge my Deposit Account No. \[ \] in the amount of \[ \] to cover the above fees. A duplicate copy of this sheet is enclosed.  c. \[ \text{ \text{T The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \( \frac{15-0610}{0} \). A duplicate copy of this sheet is enclosed.  d. \[ \] Fees are to be charged to a credit card. \[ \text{WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: \[ \text{Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  OPPEDAHL & LARSON LLP  \[ \text{SIGNATURE MARINA T. LARSON, PH.D. } \]  NAME  32,038  REGISTRATION NUMBER   | TOTAL FEES ENCLOSED =   |   |   |   | \$       |               |                |
| a. \[ \text{ A check in the amount of \$ to cover the above fees is enclosed.} \]  b. \[ \text{ Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.} \]  c. \[ \text{ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \frac{15-0610}{0.0000}. A duplicate copy of this sheet is enclosed.} \]  d. \[ \text{ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.} \]  NOTE: \[ \text{ Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.} \]  SEND ALL CORRESPONDENCE TO:  OPPEDAHL & LARSON LLP    \text{ MARINA T. LARSON, PH.D. } \]  NAME   32,038   REGISTRATION NUMBER                          |   |   |   | , <del>, , , , , , , , , , , , , , , , , , </del> | An       |               | \$             |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0610 . A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  OPPEDAHL & LARSON LLP  SIGNATURE  MARINA T. LARSON, PH.D.  NAME  32,038  REGISTRATION NUMBER   |   |   |   |   |          | charged:      | \$             |
|   | b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  c. \[ \text{The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \(\frac{15-0610}{0.5}\). A duplicate copy of this sheet is enclosed.  d. \[ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  OPPEDAHL & LARSON LLP  SIGNATURE  MARINA T. LARSON, PH.D.  NAME  32,038  REGISTRATION NUMBER |   |   |   |          |               |                |
|   |   |   |   |   |          |               |                |

MSK.P-040

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10**

Applicants

: Sadelain, et al.

Serial No.

.

Filing Date

: March 1, 2001

Title of Invention

: Fusion Receptors Specific for Prostate-Specific Membrane

Antigen and Uses Thereof

Title of Papers

: Transmittal Letter to the US Designated Office Concerning a

Filing under USC 371; Preliminary Amendment;

International Preliminary Examination Report; International Application No. WO 00/14257;

Notice informing the application of the communication of the

International Application to the Designated Offices;

Notification of Receipt of Demand by Competent International

International Examining Authority; Express Mail Certificate of Mailing; Check No. 726/ For \$ /58 00

> "Express Mail" Mailing Label Number <u>EL556131442US</u>

Date of Deposit March 2, 2001

I hereby certify that these papers and fee are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Applications, Washington, D.C. 20231.

Linda L. Orr

(Typed or Printed Name of Person mailing paper or fee)

Signature of Person mailing

paper or fee)